

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549**

FORM 8-K

**CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(d) OF
THE SECURITIES EXCHANGE ACT OF 1934**

**April 5, 2021
Date of Report (Date of earliest event reported)**

PLYMOUTH INDUSTRIAL REIT, INC.

(Exact Name of Registrant as Specified in Its Charter)

MARYLAND
(State or Other Jurisdiction
of Incorporation)

001-38106
(Commission
File Number)

27-5466153
(IRS Employer
Identification No.)

**20 Custom House Street, 11th Floor
Boston, MA 02110**
(Address of Principal Executive Offices) (Zip Code)

(617) 340-3814
(Registrant's Telephone Number, Including Area Code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Securities registered pursuant to Section 12(b) of the Act:

| <u>Title of Each Class</u> | <u>Trading Symbol</u> | <u>Name of Each Exchange on Which Registered</u> |
|--|-----------------------|--|
| Common Stock, par value \$0.01 per share | PLYM | New York Stock Exchange |
| 7.50% Series A Cumulative Redeemable Preferred Stock, par value \$0.01 per share | PLYM-PrA | NYSE American |

Item 5.02 Departure of Directors or Principal Officers; Election of Directors; Appointment of Principal Officers

The Board of Directors (the "Board") of Plymouth Industrial REIT, Inc. has appointed Caitlin Murphy as member of the Board, effective April 5, 2021. Ms. Murphy will serve for a term expiring at the 2021 annual meeting of the Company's stockholders and until her successor has been duly elected and qualified. There are no transactions in which Ms. Murphy has an interest requiring disclosure under Item 404(a) of Regulation S-K.

Item 7.01 Regulation FD Disclosure

On April 7, 2021, the Company issued a press release announcing the appointment of Caitlin Murphy to the Board. The text of the press release is included as Exhibit 99.1 to this Current Report.

The information contained in this Item 7.01 and the exhibits hereunder are being "furnished" and, as such, shall not be deemed to be "filed" for purposes of Section 18 Securities Exchange Act of 1934, as amended (the "Exchange Act"), or incorporated by reference in any filing under the Securities Act of 1933, as amended (the "Securities Act"), or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits:

| <u>Exhibit No.</u> | <u>Description</u> |
|--------------------|--|
| 99.1 | Plymouth Industrial REIT, Inc. press release dated April 7, 2021 |
| 104 | Cover Page Interactive Data File (formatted as in line XBRL) |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Company has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PLYMOUTH INDUSTRIAL REIT, INC.

Date: April 7, 2021

By: /s/ Jeffrey E. Witherell

Jeffrey E. Witherell

Chief Executive Officer



Plymouth Industrial REIT Adds Caitlin Murphy to Board of Directors

BOSTON—(April 7, 2021) Plymouth Industrial REIT, Inc. (NYSE: PLYM) announced it has appointed Caitlin Murphy to the Board of Directors, effective April 5, 2021. Ms. Murphy will serve as an independent director and stand for re-election at the Company's annual stockholder meeting. This appointment increases Plymouth's Board of Directors to eight members.

Ms. Murphy is the founder and CEO of Global Gateway Logistics based in St. Louis, Missouri. Prior to founding Global Gateway Logistics, she was Director of Business Development for Axis Worldwide Supply Chain & Logistics and was an International General Commodities Specialist for UniGroup Worldwide Logistics. Ms. Murphy began her career with IM Force in Beijing, China as an Associate, Overseas Development and Marketing. She holds a BSBA in International Business from the University of Missouri.

Jeff Witherell, Chairman and Chief Executive Officer of Plymouth, noted, "We have intentionally sought to add direct experience in logistics and supply chain operations in our largest markets to the board of directors. Caitlin has extensive experience in the logistics industry and firsthand knowledge of our industrial markets throughout the Midwest as well as the fundamentals that are driving demand for our space. We expect she will bring a valuable industry perspective that will complement the extensive real estate and investment experience that already exists on Plymouth's board of directors."

About Plymouth

Plymouth Industrial REIT, Inc. (NYSE: PLYM) is a real estate investment trust focused on the acquisition, ownership and management of single and multi-tenant industrial properties, including distribution centers, warehouses, light industrial and small bay industrial properties, located in primary and secondary markets within the main industrial, distribution and logistics corridors of the United States.

Forward-Looking Statements

This press release includes "forward-looking statements" that are made pursuant to the safe harbor provisions of Section 27A of the Securities Act of 1933 and of Section 21E of the Securities Exchange Act of 1934. The forward-looking statements in this release do not constitute guarantees of future performance. Investors are cautioned that statements in this press release, which are not strictly historical statements, including, without limitation, statements regarding management's plans, objectives and strategies, constitute forward-looking statements. Such forward-looking statements are subject to a number of known and unknown risks and uncertainties that could cause actual results to differ materially from those anticipated by the forward-looking statement, many of which may be beyond our control. Forward-looking statements generally can be identified by the use of forward-looking terminology such as "may," "plan," "seek," "will," "expect," "intend," "estimate," "anticipate," "believe" or "continue" or the negative thereof or variations thereon or similar terminology. Any forward-looking information presented herein is made only as of the date of this press release, and we do not undertake any obligation to update or revise any forward-looking information to reflect changes in assumptions, the occurrence of unanticipated events, or otherwise.

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